

Docket No.: 50229-207



Handwritten notes: "# 4", "Sector", and "PATENT" with a checkmark.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Russell MUMPER, et al.

Serial No.: 09/748,133

Group Art Unit: 1615

Filed: December 27, 2000

Examiner:

For: pH-SENSITIVE MUCOADHESIVE FILM-FORMING GELS AND WAX-FILM
COMPOSITES SUITABLE FOR TOPICAL AND MUCOSAL DELIVERY OF
MOLECULES

**RESPONSE TO NOTICE
OF MISSING PARTS OF APPLICATION**

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice of Missing Parts of Application dated February 20, 2001,
submitted herewith are the following documents for filing in the above-referenced application:

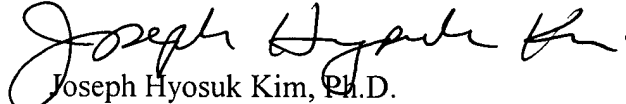
1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
2. Statutory Basic filing fee of \$355.00
3. Late Filing Fee Surcharge of \$65.00
4. Additional claim fee of \$378.00
5. Assignment
6. Petition for one-month extension of time

Please charge Deposit Account No. 500417 in the amount of \$798.00. To the extent
necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136. Please charge any

shortage in fees due in connection with the filing of this paper, including extension of time fees,
to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY


Joseph Hyosuk Kim, Ph.D.
Registration No. 41,425

600 13th Street, N.W.
Washington, DC 20005-3096
(202) 756-8000 JHK:klm
Date: May 2, 2001
Facsimile: (202) 756-8087



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/748,133	12/27/2000	Russell Mumper	50229-207

CONFIRMATION NO. 3309

FORMALITIES LETTER



OC00000005772576

MCDERMOTT, WILL & EMERY
600 13th Street, N.W.
Washington, DC 20005-3096

Date Mailed: 02/20/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$378.
 - \$378 for 42 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 798.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/03/2001 HN00R1 00000071 500417 09748133
01 FC:201 355.00 CH
02 FC:205 65.00 CH
03 FC:203 378.00 CH